

2020 COMPLIANCE CHECKLIST

KARDASHIAN INVESTMENTS (PTY) LTD
78051457832

Before the annual CIPC return can be submitted. CIPC now require a compliance checklist to be completed and lodged. This is compulsory for all PTY LTD's.

The Annual CIPC return cannot be lodged without the compliance checklist and the financial accountability return (CoR 30.2) first being submitted.

NOTE :

- ALL questions must be answered
- No is if your company "should have but did not comply"
- N/A is if your company "had no need to" or "does not apply"

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| <p>1. Section 04 - Solvency and Liquidity test
If any of the following occurred</p> <ol style="list-style-type: none"> 1. Distributions of any nature including dividends 2. Financial assistance for shares 3. Financial assistance to directors and group companies 4. Capitalization of shares with a cash alternative 5. Buyback of shares 6. Amalgamations and mergers <p>Has a solvency and Liquidity test been done?</p> | <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A</p> |
| <p>2. Section 15 - Memorandum of Incorporation
Does the company have a Memorandum of Incorporation that is consistent with the Act and, to the extent applicable, has the company filed any rules relating to the governance of the company?</p> | <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A</p> |
| <p>3. Section 26 - Access to Company Records
Has the company fully complied with any requests for access to company records and information?
MOI, Shareholders minutes and communications, Financial Statement, Directors Register and/ or the share register</p> | <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A</p> |
| <p>4. Section 27 - Financial Year End
if financial year end was changed, was the amendment recorded in the filing of the relevant notice with CIPC?</p> | <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A</p> |
| <p>5. Section 28 - Accounting Records
Does the company keep accurate and complete accounting records at or accessible from its registered office?</p> | <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A</p> |
| <p>6. Section 29 - Financial Statements
Do the company's financial statements satisfy the applicable financial reporting standards (if any), fairly present the affairs and business of the company, show its assets, liabilities and equity as well as its income and expenses together complying with any and all other prescribed information (including, amongst others, whether or not the statements have been independently audited or reviewed)?</p> | <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A</p> |
| <p>7. Section 30 - Annual Financial Statements
Have annual financial statements been compiled within 6 months of its financial year end and, if required, have such financial statements been audited in the prescribed manner and an AGM held?</p> | <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A</p> |

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8. **Section 32 - Use of Company Name and Registration Number** [] Yes [] No [] N/A
Has the company used its properly registered name and registration number in conformity with the registration certificate as issued by the CIPC on all company notices and documentation?
9. **Section 33 - Annual CIPC Return** [] Yes [] No [] N/A
Did the company file its annual return with CIPC for the preceding calendar year?
10. **Section 44 - Financial Assistance for Shares** [] Yes [] No [] N/A
If the company provided any financial assistance for shares, was it covered by a shareholders resolution and was a solvency and liquidity test done?
11. **Section 45 - Loans or Financial Assistance to Directors** [] Yes [] No [] N/A
if the company loaned money or provided direct or indirect financial assistance to any of its directors or prescribed officers, was it covered by a shareholders resolution and was a solvency and liquidity test done?
12. **Section 50 - Securities Register** [] Yes [] No [] N/A
Does the company have and maintain a securities register, in respect of each class of securities issued by it, in the prescribed form and in compliance with the Act?
13. **Section 61 - Shareholders Meetings** [] Yes [] No [] N/A
Were all shareholders' meetings convened as required by the Act and in compliance with the company's Memorandum of Incorporation?
14. **Section 66 - Appointment of Board of Directors and Officers** [] Yes [] No [] N/A
Does the board of directors comprise the minimum number of directors as prescribed by the Act or the MOI and are all directors / officers eligible and not disqualified?
15. **Section 69 - Ineligible, Delinquent and Disqualified Directors or Officers** [] Yes [] No [] N/A
Are all appointed directors and prescribed officers of the company eligible to hold office?
16. **Section 70 - Board Vacancies** [] Yes [] No [] N/A
Where the MOI has fixed term appointments and a director has vacated his/her office and the number of directors falls below the minimum number specified either in the MOI or in the rules, has the company made an additional appointment to cover the vacancy in compliance with the provisions of the Act?
17. **Section 71 - Removal of Directors** [] Yes [] No [] N/A
If any director/s have been removed from office, was the removal of such director in compliance with the provisions of the Act and have all the requirements been complied with?
18. **Section 86 - Mandatory Appointment of Secretary** [] Yes [] No [] N/A
If the company is a public company or state-owned company or a private company so required in terms of its MOI, does it have a company secretary with the requisite knowledge in compliance, company law and governance?
19. **Section 90 - Appointment of Auditor** [] Yes [] No [] N/A
If the company is public company, a State-owned company, a private company that has specified the requirement for an audit in the MOI or has the required public interest score, has it appointed a qualified registered auditor ?

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20. **Section 92 - Rotation Of Auditors** [] Yes [] No [] N/A
Does the Company rotate its auditor every 5 years and, if an individual has served as auditor for 2 consecutive years before ceasing to be the appointed auditor, has the Company ensured that such individual has not been reappointed as such within 2 years thereafter? If joint auditors have been appointed, does the Company manage the rotation so as to avoid both auditors stepping down in the same year?
21. **Section 94 - Audit Committees** [] Yes [] No [] N/A
If the company is a public company or state-owned company or a private company so required in terms of its MOI, does it have an Audit Committee?
22. **Regulation 21 - Registered Office** [] Yes [] No [] N/A
If there has been a change to the Company's registered address, was the CIPC notified within the required period of time?
23. **Regulation 43 - Social and Ethics Committee** [] Yes [] No [] N/A
If the company is a listed company, state-owned company or other company with a PI score in excess of 500 for any of the 2 preceding 5 years, and such company is not exempt from the requirements of this regulation, has a social and ethics committee been appointed as required?

Date : _____

Name and Surname

Signature